ー般化された分配則とし てのGrayテンソル積

Generalization of formal monad theory to lax functors

Kengo Hirata

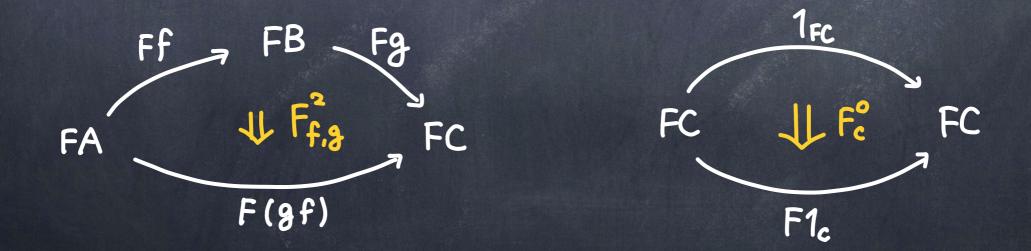
# Overview of my thesis

- 1. Motivation (monad <=> lax functor)
- 2. Recall: formal theory of monads (Section 3)
  - A. Monads vs. Adjunctions
  - B. Distributive laws
- 3. Generalization to lax functors
  - A. Lax doctrinal adjunctions (Section 4)
    The contents in this section was an existing result.
    B. Generalized distributive laws (Section 5)

## Lax functor b/w bicategories

A lax functor F: C → D between bicategories C, D is a
2-categorically weakened notion of functors,
which maps all the 0,1,2-cells in C to those in D,
but which only preserves horizontal compositions
<u>up to comparison maps.</u>

That is, there are following 2-cells

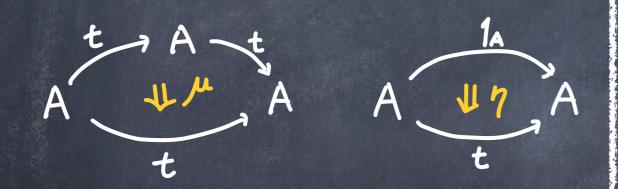


satisfying some coherence conditions.

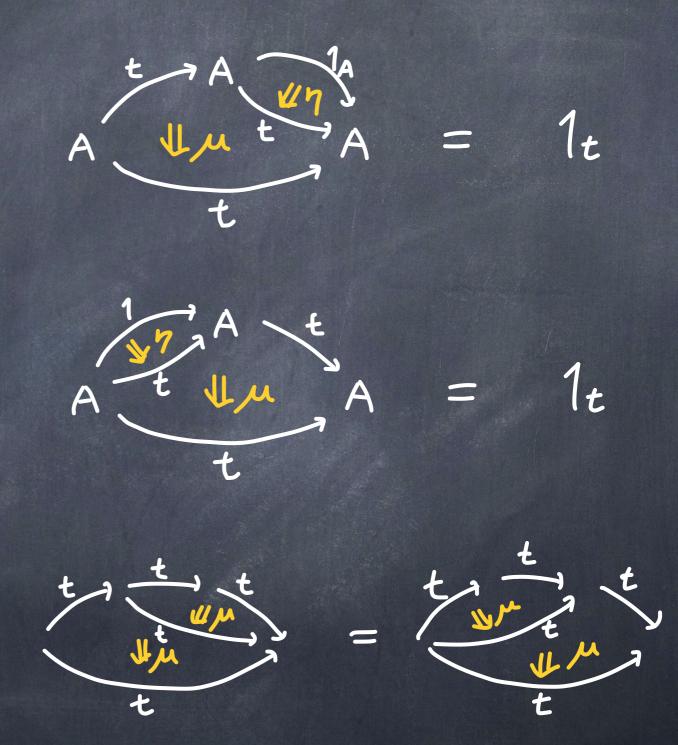
## Monads as lax functors

A monad in a bicategory  $\mathscr{D}$  consists of:

- $\oslash$  An endo-1-cell  $t: A \rightarrow A$
- $\oslash$  multiplication 2-cell  $\mu$ :  $tt \Rightarrow t$
- $\odot$  unit 2-cell  $\eta: 1_A \Rightarrow t$



satisfying three axioms on the right.



The data above is equivalent to a lax functor  $\overline{t}: 1 \rightarrow \mathcal{D}$ 

## Lax functors as generalized monads

- $\oslash$  A lax functor  $\overline{t}: 1 \rightarrow \mathcal{D}$  is a monad.
- Let  $\mathcal{M}$  be a monoidal category, and assume it as a single-object bicategory  $\Sigma \mathcal{M}$ . Then, a lax functor  $\Sigma \mathcal{M} \sim \mathcal{D}$  is a graded monad.
- Let B, C be categories and End(C) be the monoidal category of endo-functors. Then, a <u>category graded</u> <u>monad</u> is a lax functor B ~ ΣEnd(C)



# To what extent do lax functors behaves like monads?

Key idea: Rephrase "monad" as "lax functor  $1 \sim \mathcal{D}$ ". (But not trivial)

## monad (1): 2-category of monads

A lax monad morphism  $(f, \overline{f})$  from  $t: A \to A$  to  $s: B \to B$ consists of a 1-cell  $f: A \to B$  and a 2-cell,

 $\begin{array}{c} A \xrightarrow{f} B \\ t & f \\ A \xrightarrow{f} J \\ A \xrightarrow{f} B \\ f \end{array}$ 

which is compatible to  $\mu$  and  $\eta$ .

A 2-cell  $\alpha$ :  $(f, \overline{f}) \Rightarrow (g, \overline{g})$  between lax monad morphisms is defined as a 2-cell  $\alpha$ :  $f \Rightarrow g$  which is compatible to  $\overline{f}$  and  $\overline{g}$ .

 $t\left(\underbrace{\sqrt{f}}_{F}^{S}\right)|_{B} = t\left(\underbrace{\sqrt{f}}_{F}^{A}\right)|_{A} + \left(\underbrace{\sqrt{f}}_{F}^{F}\right)|_{A} + \left(\underbrace{\sqrt{f}}_{F}^{F}$ 

The 2-category of monads in  $\mathscr{K}$  will be denoted by  $\operatorname{mnd}_{l}(\mathscr{K})$ .

#### generalization (1): 2-category of lax functors

Notation. The 2-category of wfunctors and w'-transformations will be denoted by  $\frac{W}{W'}[\mathscr{A}, \mathscr{K}]$ .

e.g.)  ${}_{L}^{2}[\mathscr{A}, \mathscr{K}] = 2$ -functor + lax transformation + modification.

The augmented simplex category  $\Delta_a$ has natural numbers  $\{0,1,2,...\}$  as objects and monotone maps as morphisms.  $0 \rightarrow 1 \rightleftharpoons 2 \oiint 3 \cdots$ The free 2-category of monad Mnd is a single-object 2-category whose

hom-category is  $\Delta_a$ .

**Prop.** Let  $\mathscr{K}$  be a 2-category. The 2-category of monads in  $\mathscr{K}$  can be presented as,  $\mathrm{mnd}_{l}(\mathscr{K}) \cong {}^{L}_{L}[1,\mathscr{K}] \cong {}^{2}_{L}[\mathrm{Mnd},\mathscr{K}].$ Generally...

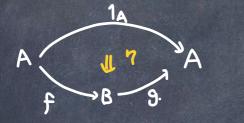
Thm. For each bicategory  $\mathcal{C}$ , there is a 2-category  $\overline{\mathcal{C}}$  called the lax functor classifier with the universal property:

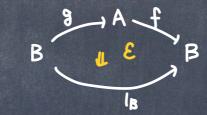
 ${}^{L}_{W}[\mathscr{C},\mathscr{K}] \cong {}^{2}_{W}[\overline{\mathscr{C}},\mathscr{K}]$ 

 $(\overline{1} \cong Mnd)$ 

## monad (2): relation to adjunctions

An adjunction  $f \dashv g$  in  $\mathscr{C}$  consists of: 1-cells  $f: A \rightarrow B$  and  $g: B \rightarrow A$ Together with 2-cells  $\varepsilon$  and  $\eta$ 





satisfying the triangular identities

$$A = A$$

$$f = 1_{f}$$

$$A = A$$

$$B = 1_{f}$$

$$A = A$$

Prop. If a 2-category & has sufficient limits/colimits, any monads in & arose from Eilenberg-Moore/Kleisli adjunctions.

Prop. Let the 2-category of right adjoints radj( $\mathscr{K}$ ) be the full sub-2category of  $\mathscr{K}^{\rightarrow}$ . If a 2-category  $\mathscr{A}$  admits all Eilenberg-Moore objects, then mnd<sub>l</sub>( $\mathscr{K}$ ) is a reflective sub-2category of radj( $\mathscr{K}$ ).

#### generalization (2): relation to lax doctrinal adjunctions

(This is the contents of Section 4 in my thesis, which turned out that it was already known in Steve Lack's paper "Morita contexts as lax functors".)

There is a <u>2-comonad</u> whose 2-category of lax coalgebras and lax morphisms is  ${}_{L}^{L}[\mathscr{A}, \mathscr{K}]$ . With some 2-monad theoretical discussion, I found the following, which was first found in Ross Street's paper "Two constructions on lax functors".

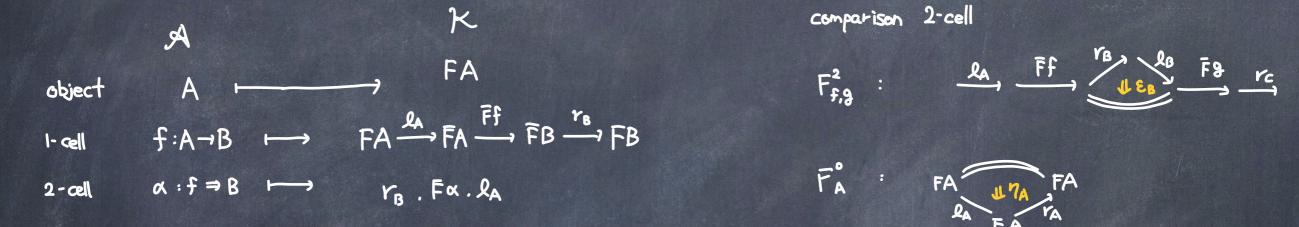
Let us consider the following data: (pointwise adjunction)

 $\oslash$  A 2-functor  $\overline{F}: \mathscr{A} \to \mathscr{K}$ ,

The relation between the data above and a lax functor is the same as the relation between an adjunction and a monad. That is...

#### generalization (2): relation to lax doctrinal adjunctions

<u>Thm.</u> Any pointwise adjunction  $FA \stackrel{\mathbb{P}_{A}}{\xrightarrow{r}} \overline{F}A$  induces a lax functor  $F: \mathscr{A} \to \mathscr{K}$  defined by

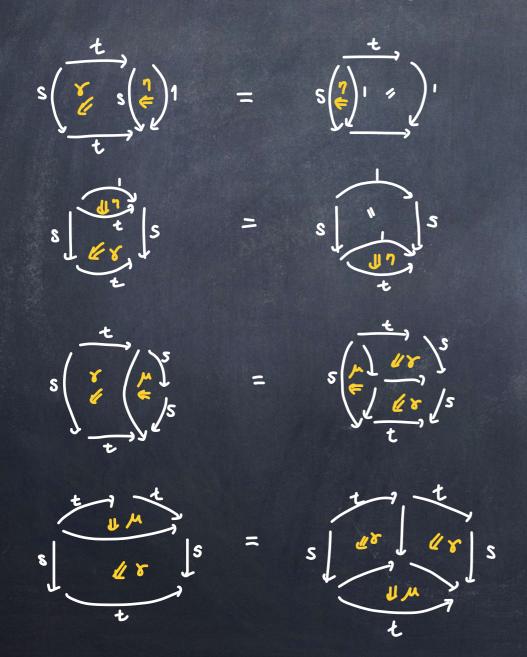


<u>Thm.</u> Let  $\mathscr{K}$  be a complete 2-category, and  $\mathscr{A}$  be a small 2-category. Given any lax functor  $F: \mathscr{A} \to \mathscr{K}$ , there is a 2-functor  $\mathscr{R}F: \mathscr{A} \to \mathscr{K}$ called the <u>Eilenberg-Moore 2-functor</u> and pointwise adjunction  $FA \stackrel{\frown}{=} \mathscr{R}FA$ , which induces F.

<u>Thm.</u>  ${}_{L}^{L}[\mathscr{A}, \mathscr{K}]$  is a reflective sub-2-category of pointwise adjunctions.

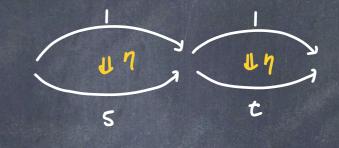
# monad (3): distributive law

Let s, t be monads on A in  $\mathcal{K}$ . An distributive law of t over s is a 2-cell  $\gamma$ : st  $\Rightarrow$  ts satisfying:

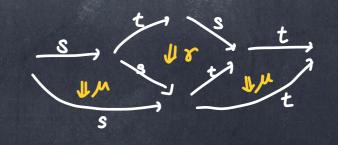


Prop. If  $\gamma: st \Rightarrow ts$  is a distributive law, there is a monad structure on *ts* called the composition of *t* and *s* defined by:

Unit



Multiplication



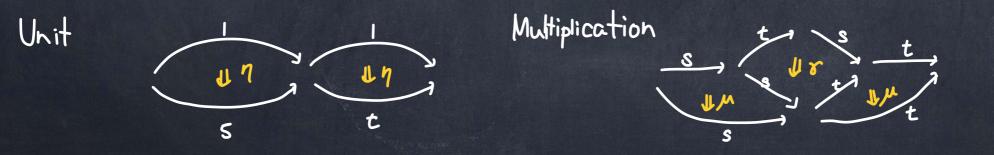
# monad (3): distributive law

Thm. [Beck69] Let t and s be monads on the same object A in  $\mathcal{K}$ . All the following give the same data.

- 1. A distributive law  $\gamma: st \Rightarrow ts$ .
- 2. A monad in the 2-category of monads  $\operatorname{mnd}_l(\mathscr{K})$ .

3. A lifting  $\overline{t}$  of the monad t to the Eilenberg-Moore object  $A^s$ . monad  $(\overline{t}, \overline{\eta}, \overline{\mu})$  on  $A^s$  s.t.  $A^s \xrightarrow{\overline{t}} A^s$   $A \xrightarrow{t} A$  A  $u \xrightarrow{t} u$   $A \xrightarrow{t} A$   $u \xrightarrow{t} u$  $A \xrightarrow{t} A$   $u \xrightarrow{t} u$ 

4. A 2-cell  $\gamma$ :  $st \Rightarrow ts$  which makes ts a monad in the following way.



## generalization (3): generalized distributive law

<u>Thm.</u> [Gray74] There is a non-symmetric monoidal structure on the category of 2-categories 2-Cat<sub>0</sub> called lax Gray tensor  $\bigotimes_l$  product with left and right closed structure,

 $\mathscr{A} \otimes_{l} (-) \quad \dashv \quad {}^{2}_{L}[\mathscr{A}, -]$  $(-) \otimes_{l} \mathscr{B} \quad \dashv \quad {}^{2}_{Op}[\mathscr{B}, -].$ 

A distributive law in  $\mathcal{K}$  was a monad in  $\text{mnd}_l(\mathcal{K})$ . So the 2-category of distributive laws is defined by

 $\binom{2}{L}$  Mnd,  $\binom{2}{L}$  [Mnd,  $\mathscr{K}$ ]  $\cong \binom{2}{L}$  [Mnd  $\otimes_l$  Mnd,  $\mathscr{K}$ ]

Which is also isomorphic to

 ${}^{2}_{L}\left[\mathbf{\bar{1}}, {}^{2}_{L}\left[\mathbf{\bar{1}}, \mathscr{K}\right]\right] \cong {}^{2}_{L}\left[\mathbf{\bar{1}} \otimes_{l} \mathbf{\bar{1}}, \mathscr{K}\right]$ 

## generalization (3): generalized distributive law

Def. [Nikolić19] A generalized distributive law in  $\mathscr{K}$  is a 2-functor from  $\overline{\mathscr{C}} \otimes_l \overline{\mathscr{D}}$  to  $\mathscr{K}$ , which is equivalent to a lax functor  $\mathscr{D} \sim {}^L_L[\mathscr{C}, \mathscr{K}]$ 

This is also equivalent to the following data:

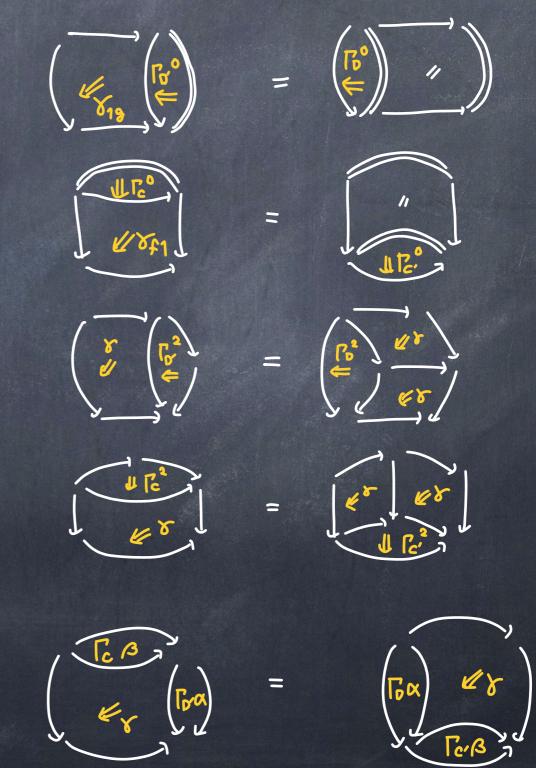
⊘ Lax functors  $\Gamma(C, -)$ :  $\mathscr{D} \sim \mathscr{K}$ 

$$\ \, \hbox{ Lax functors } \Gamma(-,D)\colon \mathscr{C} \leadsto \mathscr{K}$$

2-cells for each pair of 1-cells  $(f: C \to C', g: D \to D')$ 

$$\begin{array}{cccc}
\Gamma c g & \Gamma c g \\
\Gamma c p & \Gamma c p' \\
\Gamma f p' & & \Gamma f p' \\
\Gamma c' p & \Gamma c' p' \\
\Gamma c' g & \Gamma c' p' \\
\end{array}$$

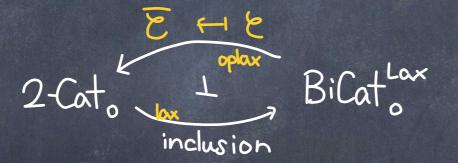
#### satisfying



#### Lax functor classifier and lax Gray tensor

**Prop.** Sending  $\mathscr{A}$  to the 2-functor  ${}_{L}^{2}[\mathscr{A}, -]$  defines a (strict) monoidal functor (2-Cat<sub>0</sub>,  $\otimes_{l}$ , 1)  $\rightarrow ({}_{2}^{2}[2-Cat, 2-Cat], \circ, Id).$ 

<u>Thm.</u> There is a following lax/oplax monoidal adjunction between (BiCat<sub>0</sub><sup>Lax</sup>,  $\times$ , 1) and (2-Cat<sub>0</sub>,  $\otimes_l$ , 1).



<u>Cor.</u> For each bicategory  $\mathscr{C}$ , its lax functor classifier  $\overline{\mathscr{C}}$  has a canonical lax Gray comonoid structure, which induce a 2-monad  $\frac{2}{L}[\overline{\mathscr{C}}, -]$  on 2-Cat.



When  $\mathscr{C} = \mathbf{1}$ , the 2-monad  $\frac{2}{L}[\overline{\mathbf{1}}, -]$  is isomorphic to  $\operatorname{mnd}_{l}(\mathscr{K})$ .

And the multiplication is defined by the composition of monads.

 $\operatorname{mnd}_{l}(\operatorname{mnd}_{l}(\mathscr{K})) \to \operatorname{mnd}_{l}(\mathscr{K})$ 

distributive law  $\mapsto$  composed monad

The multiplication of the 2-monad  $\frac{2}{L}[\overline{\mathscr{C}}, -]$  can be seen as a generalization of composition of monads.

 $L_{L}\left[\mathscr{C}, L_{L}\left[\mathscr{C}, \mathscr{K}\right]\right] \rightarrow L_{L}\left[\mathscr{C}, \mathscr{K}\right]$ 

generalized distributive law  $\mapsto$  "composed" lax functor

## Eilenberg-Moore and distributive law

Let  $\mathscr{A}, \mathscr{B}$  be 2-categories and  $\Gamma: \overline{\mathscr{A}} \otimes_{l} \overline{\mathscr{B}} \to \mathscr{K}$  be a generalized distributive law. Suppose  $\Gamma': \mathscr{B} \leadsto \frac{L}{L} [\mathscr{A}, \mathscr{K}]$  is the corresponding lax functor.

<u>Thm.</u> Let All the following defines isomorphic 2-functors from  $\mathscr{A} \times \mathscr{B}$ .

- 1. The Eilenberg-Moore 2-functor of the composite  $\mathscr{B} \xrightarrow{\Gamma} \frac{L}{L} [\mathscr{A}, \mathscr{K}] \xrightarrow{EM = \mathscr{R}} \frac{2}{2} [\mathscr{A}, \mathscr{K}].$
- 2. The composite  $\mathscr{B} \xrightarrow{\mathscr{R}\Gamma'} {}_{L}^{L} [\mathscr{A}, \mathscr{K}] \xrightarrow{EM} {}_{2}^{2} [\mathscr{A}, \mathscr{K}].$
- 3. The Eilenberg-Moore 2-functor of the composite  $\mathscr{A} \times \mathscr{B} \xrightarrow{J} \overline{\mathscr{A}} \otimes_{l} \overline{\mathscr{B}} \xrightarrow{\Gamma} \mathscr{K}$ . J: canonical lax functor

A×B~~ AQB

Conclusion

I studied how formal monad theory can be generalized to lax functors, and

- I redescovered the results in Section 8 of Steve Lack's paper "Morita contexts as lax functors".
- I proveded some statements related to generalized distributive laws:
  - A lax/oplax monoidal adjunction between (BiCat<sup>Lax</sup><sub>0</sub>, ×, 1) and (2-Cat<sub>0</sub>, ⊗<sub>l</sub>, 1), and found a canonical comonoid structure on  $\overline{\mathscr{C}}$ .
  - Relation to Eilengerg-Moore construction of lax functors.